

PATENT

APPLICATION FOR U.S. PATENT
TRANSMITTAL FORM

66202 U.S. PTO



07/08/97

Box Patent Application
THE COMMISSIONER OF PATENTS
AND TRADEMARKS
Washington, D.C. 20231Attorney Docket No.:
063074.0104

Sir:

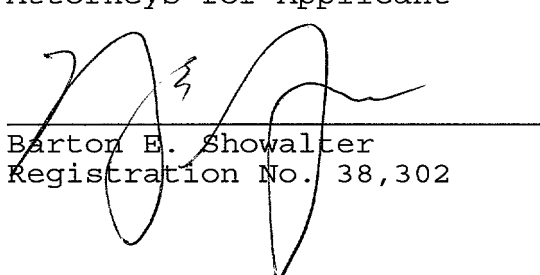
Transmitted herewith for filing is the patent application
of:

Inventors: Patrick J. Sullivan, et al.

For: VIDEO SURVEILLANCE SYSTEM AND METHOD

Enclosed are: 8 Sheets of Formal Drawings

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$385.00
Total Claims:	42	- 20 =	22	X \$11 =	\$242.00
Independent Claims	4	- 3 =	1	X \$40 =	\$40.00
TOTAL FILING FEE =					\$667.00

Enclosed is a check in the amount of \$667.00. Please charge
any additional fees or credit any overpayment to Deposit Account
No. 02-0384 of BAKER & BOTTS, L.L.P.BAKER & BOTTS, L.L.P.
Attorneys for ApplicantJuly 8, 1997
Date
Barton E. Showalter
Registration No. 38,302

VIDEO SURVEILLANCE SYSTEM AND METHOD

RELATED APPLICATIONS

This application is a continuation-in-part application of pending U.S. Patent Application Serial No. 08/677,513 filed August 13, 1996, entitled *Video Surveillance System and Method*, assignee to the same party.

TECHNICAL FIELD OF THE INVENTION

This invention relates in general to surveillance and communication systems, and more specifically to a video surveillance system and method.

5

063074.0104

BACKGROUND OF THE INVENTION

5 A point-of-sale (POS) device, an automated teller machine (ATM), or other similar device generates data associated with a financial transaction. For example, a POS device may generate data associated with the sale of an item, whereas an ATM may generate data associated with a cash withdrawal by a customer. Due to human error, intentional misconduct, or machine malfunction, there may be a desire to display or
10 analyze events associated with these financial transactions.

Existing surveillance systems provide some monitoring of financial transactions. For example, some surveillance systems capture data associated with
15 financial transactions for later analysis and reporting. Other surveillance systems store video images on videotape for later visual analysis and reporting of the event. Still other systems associate or overlay financial transaction data with video
20 stored on videotape.

SUMMARY OF THE INVENTION

In accordance with the present invention, the disadvantages and problems associated with surveillance systems have been substantially reduced or eliminated. In particular, the present invention provides a video surveillance system and method that combines data, video, and optionally audio associated with a financial transaction and transmits this information in real-time to a server. A server displays the data in a data window which is overlaid on a video image corresponding to the data.

In accordance with one embodiment of the present invention, a video surveillance system includes a client that generates data associated with a financial transaction. The client has a camera that generates video associated with the financial transaction. The client transmits the data and video over a communication network. A server is coupled to the client using the communications network and receives the data and video from the client. The server displays the video and data in real-time.

Important technical advantages of the present invention include the real-time transmission and viewing of data, video, and optionally audio associated with a financial transaction. In particular, a client, such as a point-of-sale (POS) device like a cash register or an automated teller machine (ATM), generates data associated with and/or upon the occurrence of a financial transaction. The

client also includes a camera that generates associated video and optionally a microphone that generates associated audio. The client may transmit data, video, and audio across a communications network in real-time. Additionally, data can be transmitted from the client to the server upon initialization of a real-time connection. This data may represent daily sales total, transaction totals, number of items sold since last contact or some other information.

Another important technical advantage of the present invention includes a server coupled to the client that receives the transmitted data and video. The server includes a display that allows the data to be shown as a data window overlaid on the associated video. Multiple data windows as well as multiple video windows can be displayed. In a particular embodiment, the server is coupled to a plurality of clients and each client transmits data and video for financial transactions occurring at the client. The server displays these transactions in multiple windows. An operator can change the views in the windows or the windows can be changed automatically, based on some preexisting criteria. Other technical advantages are readily apparent to one skilled in the art from the following figures, descriptions, and claims.

BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention, and for further features and advantages, reference is now made to the following written description taken in conjunction with the accompanying drawings, in which:

FIGURE 1 illustrates a video surveillance system;

FIGURE 2 illustrates a client in the video surveillance system;

FIGURE 3 illustrates a server in the video surveillance system;

FIGURE 4 illustrates a graphical interface at the server in the video surveillance system;

FIGURE 5 illustrates the components of an exemplary digital file used in the video surveillance system;

FIGURE 6 is a flowchart of a method of operation of the client in the video surveillance system;

FIGURE 7 is a flowchart of a method of operation of the server in the video surveillance system;

FIGURE 8 illustrates a video surveillance system capable of real-time transmission of video and data;

FIGURE 9 illustrates a display which includes a video window and one or more data windows;

FIGURE 10 illustrates a display divided into multiple video windows and data windows;

FIGURE 11 is a flowchart of real-time data and video transmission; and

FIGURE 12 is a flowchart for a method of updating data from a client.

DETAILED DESCRIPTION OF THE INVENTION

FIGURE 1 illustrates a video surveillance system 10 that includes clients 12 coupled to servers 20 using a communications network 24. In operation, clients 12 generate digital files 14 that include data, video, and optionally audio associated with financial transactions. Clients 12 communicate digital files 14 to servers 20 using network 24. Servers 20 store digital files 14 received from clients 12 in databases 18, and provide remote monitoring, reporting, and analysis of financial transactions occurring at clients 12.

Clients 12 may include or be associated with any electronic device that generates data on a financial transaction, such as a point-of-sale (POS) device like a cash register, automated teller machine (ATM), or any other appropriate device that generates data on a financial transaction. Clients 12 may be located at one or more sites, associated with one or more business organizations, or otherwise arranged or grouped in any appropriate manner. For example, two or more clients 12 may be co-located at a site, operated by the same business organization, or otherwise associated as indicated by bracket 26. Each server 20 in system 10 receives digital files 14 associated with financial transactions occurring at one or more designated or associated clients 12. System 10 contemplates any association or arrangement

of clients 12 and servers 20 to accomplish remote monitoring and analysis of financial transactions.

Network 24 represents hardware and software used in any suitable communications network or computer network, such as a local area network (LAN), wide area network (WAN), public switched telephone network, integrated services digital network (ISDN), switched-56 telephone network, private branch exchange (PBX), the global computer network known as the Internet, or any other appropriate technology or technique that allows components of system 10 to communicate information. Although client 12 and server 20 are referred to in the nomenclature of a client/server environment, it should be understood that client 12 and server 20 may be any type of computer operating in any suitable environment that communicates using network 24. Each component in system 10 includes any suitable hardware and software components to interface with and communicate using network 24.

In a particular embodiment, network 24 supports one-way and two-way audio/video conferencing. Throughout this description, audio/video conferencing includes conferencing of audio alone, video alone, or both audio and video, together with any associated data. For example, network 24 may include components to implement an integrated services digital network (ISDN) communications facility that supports the ITU H.320 video conferencing standard. In this embodiment, each component of system 10 may include

appropriate transceivers, coders/decoders (codecs), interface cards, and other hardware and software to implement audio/video conferencing and underlying data transfer.

5 An alarm monitoring station 28 is also coupled to network 24 and detects alarm conditions at clients 12. In response to this detection, station 28 establishes communication with the particular client 12 that generates the alarm condition. Station 28 may display
10 in a direct, dedicated, real-time, or near real-time fashion data, video, and audio generated at the particular client 12 that generated the alarm condition. Station 28 may also perform one-way or two-way audio/video conferencing with the particular
15 client 12. In a particular embodiment, station 28 alerts and dispatches police, fire, security, or other officials to client 12.

 In operation, clients 12 perform financial transactions and generate digital files 14 associated
20 with the financial transactions for storage in databases 16. At appropriate times, server 20 receives digital files 14 from clients 12, and stores these digital files 14 in database 18. In one embodiment, database 18 maintained at server 20
25 includes digital files 14 collected from numerous clients 12. Server 20 includes a database management system and a graphical interface to display, select, analyze, and report on financial transactions

occurring at clients 12 that correspond to digital files 14 maintained in database 18.

FIGURE 2 illustrates client 12 in more detail. On-site input/output devices 50 include microphone 52,
5 speaker 54, cameras 56, and display 58. A video switch 60, coupled to cameras 56 and display 58, selects video from one or more cameras 56. A video cassette recorder (VCR) 62 or other appropriate recording device is coupled to input/output devices
10 50, and records video and audio information on videotape 64.

Input/output devices 50 are coupled to a converter 70, which passes video 72 and audio 74 in digital format to a controller 76. Controller 76 is
15 coupled to and receives data 82 regarding a financial transaction from ATM 78, POS 80, or any other device that generates data 82 regarding a financial transaction. An alarm 83 is also coupled to controller 76, and represents a motion detector,
20 clock, panic button, or other device that generates an alarm condition 85 at client 12.

Controller 76 is coupled to database 16 which stores digital files 14 and exception condition 84. Exception condition 84 comprises information that
25 directs client 12 when to store video and optional audio for particular financial transactions. For example, exception condition 84 may represent one or more activities, such as keystrokes at ATM 78 or POS 80, that when detected in data 82 triggers the capture

of video 72 and/or audio 74 for the financial transaction. Exception condition 84 may be defined as a noise threshold in audio 74 or a pixel or picture variance or difference threshold in video 72 that, when exceeded, triggers the capture of video 72 and audio 74. Controller 76 is also coupled to codec 86, which in turn is coupled to network 24 using interface 88.

Particular components of client 12 may operate on one or more computers, shown generally as computer 90. Computer 90 maintains and executes the instructions to implement converter 70, controller 76, codec 86, and interface 88, and includes any suitable combination of hardware and software to provide the described function or operation of these components. Database 16 comprises one or more files, lists, or other arrangement of information stored in one or more components of random access memory (RAM), read only memory (ROM), magnetic computer disk, CD-ROM, other magnetic or optical storage media, or any other volatile or nonvolatile memory.

Computer 90 includes an input device 92 such as a keypad, touch screen, mouse, or other device, that can accept information. Output device 94, such as a computer display or speaker, conveys information associated with the operation of client 12, including digital data, visual information, or audio information. Both input device 92 and output device 94 may include fixed or removable storage media such

as a magnetic computer disk, CD-ROM, or other suitable media to both receive output from and provide input to client 12. Processor 96 and its associated memory execute instructions and manipulate information in accordance with the operation of client 12.

In operation, input/output devices 50 may operate in an analog or mixed analog/digital environment. For example, cameras 56 may generate and display 58 may display video in a standard television format such as NTSC or other analog signal format. Similarly, microphone 52 may generate and speaker 54 may convey audio information in analog form. If appropriate, converter 70 converts analog signals used by one or more input/output devices 50 into digital signals for video 72 and audio 74 used by controller 76. In one embodiment, input/output devices 50 generate and receive digital data and the operation of converter 70 is unnecessary.

Upon the occurrence of a financial transaction, ATM 78 or POS 80 generates data 82 associated with the financial transaction. Controller 76 analyzes video 72, audio 74, and/or data 82 to determine if it indicates, corresponds to, or is associated with exception condition 84 stored in database 16. In a first mode, controller 76 determines that video 72, audio 74, and/or data 82 are not associated with exception condition 84 and stores only data 82 generated by ATM 78 or POS 80 as digital file 14 in database 16. In a second mode, controller 76

determines that video 72, audio 74, and/or data 82 generated by ATM 78 or POS 80 is associated with exception condition 84, which triggers the capture of video 72 and optionally audio 74. Therefore, in the second mode of operation, controller 76 includes data, video 72, and optionally audio 74 associated with the financial transaction in digital file 14 stored in database 16.

Contemporaneously with the storage of digital file 14 in database 16 or at an appropriate later time, controller 76 retrieves one or more digital files 14 from database 16 for transmission to server 20 using codec 86, interface 88, and network 24. Client 12 may schedule delivery of digital files 14 to server 20 in any appropriate manner. For example, client 12 may communicate digital files 14 to server 20 at off-peak hours, at the end of a shift, at specified intervals during a day, week, or month, or at any other appropriate time, depending on the particular requirements of the business organization operating client 12. In addition, client 12 may initiate communication of digital files 14 in response to a command received from server 20 over network 24. Also, alarm condition 85 generated by alarm 83 may cause client 12 to immediately communicate digital file 14 associated with alarm condition 85. In this embodiment, client 12 may transmit alarm condition 85 to station 28 and establish a direct, dedicated, real-

time, or near real-time one-way or two-way audio/video conference with station 28.

In combination with or separate from the generation and communication of digital files 14, client 12 also supports one-way and two-way audio/video conferencing using network 24. For one-way audio/video conferencing, converter 70 passes video 72 from cameras 56 and audio 74 from microphone 52 to controller 76. Controller 76 and codec 86 place video 72 and audio 74 into an appropriate format such as the video conferencing standard described in ITU H.320. Controller 76 may also include any data generated at client 12 in the conferencing information. In a particular embodiment, one-way audio/video conferencing signals are multiplexed and compressed onto a single digital bit data stream and transmitted to server 20 or station 28 using the ISDN communications standard supported by network 24.

For two-way audio/video conferencing, the components of client 12 perform the same outgoing conferencing capability, but also receive audio/video conferencing signals from server 20 using network 24, interface 88, and codec 86. Controller 76 receives incoming signals from codec 86, separates the signals, and passes video 72 and audio 74 to converter 70. Converter 70 performs conversion, if appropriate, and presents incoming conferencing signals to speaker 54 and display 58. Controller 76 may also extract data from the incoming conferencing signals.

In a particular embodiment, real-time video 72 and optionally audio 74 is sent along with corresponding data 82 generated by ATM 78 or POS 80. The term "real-time" means real-time, near real-time, or contemporaneous as possible but subject to limitations in communication systems that cause substantial time to elapse between the capturing of video 72 and data 82 and the display at server 20. In this embodiment, video 72 and optionally audio 74 is sent to controller 76 where it combines with data 82 from ATM 78, POS 80 or any other device that generates data 82 regarding a financial transaction. Alternatively, instead of controller 76, any other device can be used that can combine video 72 and data 82. Data 82 from more than one ATM 78 or POS 80 can be transmitted. Additionally, multiple video windows can be transmitted, each one representing a different camera 56 feed. These types of transmissions can also occur at multiple clients 12. Video 72 is transmitted along with the corresponding data 82 over network 24 via interface 88. Alternatively, data 82 can be stored in database 16 over a period of time. Upon establishment of network connection or some other occurrence, data 82 is transferred from client 12 to server 20. Server 20 can then query either its own database 18 or database 16 at server 12.

FIGURE 3 illustrates server 20 in more detail. Input/output devices 100 include camera 102, microphone 104, and speaker 106. Input/output devices

100 are coupled to a codec 108, which in turn is coupled to network 24 using an interface 109. A controller 110 is coupled to codec 108, display 112, and input devices 113. Display 112 displays information contained in digital files 14 received from clients 12. In particular, display 112 presents a graphical interface 116 that allows a user of server 20 to display, select, analyze, and report on financial transactions occurring at clients 12 that correspond to digital files 14 maintained in database 18. Also included in database 18 is a data configuration 15 which allows data to be overlaid on display 112 in a variety of formats. Input devices 113 may include a keyboard, mouse, other pointing device, or any other appropriate input device that allows the user to interact with graphical interface 116 and direct the operation of server 20.

Controller 110 is also coupled to database 18, which stores digital files 14 received from clients 12. Alternatively, a video cassette recorder 107 can be used to store real-time video 72. Database 18 includes a database management system 114 that provides traditional database features to store, retrieve, and manipulate information stored as digital files 14 for monitoring, analyzing, and reporting on financial transactions occurring at clients 12. Database management system 114 supports any suitable flat file, hierarchical, relational, object-oriented, or parallel database operation.

5 In operation, server 20 receives data 82, video 72, and optionally audio 74 in the form of digital files 14 from network 24 using interface 109. Codec 108 decompresses and converts this information into a proper format for storage in database 18 by controller 110. The retrieval of digital files 14 from clients 12 may occur on a periodic basis defined by clients 12, a periodic basis defined by server 20, or as a result of server 20 polling clients 12 with commands to download information.

10 In response to a request from controller 110, database management system 114 accesses selected digital files 14 and passes this information to controller 110 for presentation by graphical interface 116 on display 112. Using graphical interface 116, the user can display, select, view, analyze, and report on information associated with financial transactions occurring at clients 12.

15 In an alternative embodiment, server 20 receives data 82 and video 72 from network 24 in real-time. Video 72 is displayed on display 112 based on data configuration 15 stored in database 18. Overlaid as a data window on display 112 is a representation of data 82, such as a cash register receipt.

20 Alternatively, multiple data windows can be displayed corresponding to data 82 from different ATM 78 or POS 80 at the same or different location. Multiple video windows, each one a different video 72 from a different camera 56 at the same or different location

25

can be shown on display 112. Data windows can be displayed for each video 72. A user can switch video 72 based on what is occurring in a data window or views can be switched automatically based on some preexisting criteria. FIGURES 9-11 describe the techniques to display video 72 and data 82 in a variety of arrangements.

Additionally, upon connection between client 12 and server 20, client 12 can automatically transfer data 82 to server 20. This can be all the financial records since last connection, all the financial records over a certain period of time, or some other configuration. Server 20 can then query either its own database 18 or the client's database 16 for further information. Data configuration 15 can control the display of data 82. For example, data configuration 15 can have the display show total sales for a certain time period broken down by categories of items purchased. FIGURE 12 describes in more detail techniques for updating data from a client.

FIGURE 4 illustrates in more detail the components of graphical interface 116. Graphical interface 116 includes a table 120 having a number of entries 122 associated with financial transactions. Each entry 122 includes all or a portion of data 82 generated by POS 78 or ATM 80 at client 12. Highlighted entries 124 may be emphasized by shading, font changes, color differences, or other appropriate technique to indicate the existence of associated

video and/or audio. In a particular embodiment, entries 122 correspond to data received from clients 12 operating in a first mode in which digital file 14 includes data 82, and highlighted entries 124 correspond to information retrieved from clients 12 operating in a second mode in which digital file 14 includes data 82, video 72, and optionally audio 74. In this manner, table 120 provides data 82 on associated financial transactions, and also conveys visually those financial transactions associated with particular defined exception conditions 84 at clients 12. Highlighted entries 124 may then be quickly recognized by the user of server 20 and analyzed as a suspect or more closely monitored financial transaction.

Upon a user selecting highlighted entry 124 using input device 113, video window 126 presents a still frame or a selected portion of partial or full motion video 72 associated with the selected highlighted entry 124. Optional audio 74 may also be presented simultaneously with video 72. The user may manipulate a toolbar 128 to play, pause, stop, fast forward, rewind, adjust the volume, or perform other appropriate functions to analyze video 72 and audio 74 presented by graphical interface 116. Furthermore, video window 126 may present a magnification box 130 that allows the user to analyze selected portions of video 72 in more detail using zoom, pan, and other functions. The storage of vide 72 and audio 74 as

digital information enables more sophisticated analysis techniques, such as the techniques provided by toolbar 128 and magnification box 130.

5 Graphical interface 116 also includes a search/report window 132 that allows the user of server 20 to specify particular financial transactions to view in table 120. For example, search/report window 132 may prompt the user for a number of parameters that specify the desired financial
10 transactions to view. Parameters may include time, date, store identifier, register identifier, amount of transaction, all transactions involving a particular item, all transactions meeting an exception condition, or any other appropriate parameter. Search/report
15 window 132 may also include various printing, reporting, and analyzing capabilities of server 20.

FIGURE 5 illustrates digital file 14 generated by client 12, optionally stored in database 16 at client 12, and stored in database 18 at server 20. Digital
20 file 14 includes data 82 generated by ATM 78 or POS 80 at client 12, video 72, and audio 74. As described above, client 12 operating in a first mode in which exception condition 84 is not met may only include data 82 in digital file 14. However, in a second mode
25 in which exception condition 84 is met, client 12 may include data 82, video 72, and optionally audio 74 in digital file 14.

Data 82 includes a transaction identifier 200, date and time 202, POS or ATM identifier 204, and site

identifier 206, which may all be considered together as identifiers 208 that uniquely specify digital file 14 in system 10. Data 82 also includes transaction data 210, that may specify transaction type, item identification, item cost, taxable amount, amount tendered, tax added, total, withdrawal amount, account information, user information, keys depressed at ATM 78 or POS 80 during the financial transaction, or any other data associated with the financial transaction. Controller 76 at client 12 may analyze transaction data 210 to determine if exception condition 84 is met. Data 82 may also include other data 212, such as a measure of the time the cash register door is open, an identifier of the employee on duty, an estimate of the number of persons in the store, or other information not directly related to the financial transaction but provided in data 82 for further analysis of the financial transaction. For clarity, digital file 14 in FIGURE 5 arranges information in blocks. However, data 82, video 72, and audio 74 may be arranged in any format or order, depending upon the particular implementation and technology used in system 10.

The maintenance of data 82, video 72, and audio 74 in a single digital file 14 provides several technical advantages. Digital file 14 may be scrambled, rearranged, encoded, or otherwise processed to prevent tampering or disassociation of data 82 with its corresponding video 72 and audio 74. In addition,

system 10 capitalizes on digital storage, compression, and communications techniques to quickly and efficiently gather digital information at server 20. Also, the digital format of digital file 14 enables more sophisticated database storage, retrieval, and reporting functions to be performed at server 20.

FIGURE 6 illustrates a flow chart of a method of operation of client 12. The method begins at step 300 where the next financial transaction occurs at ATM 78 or POS 80. ATM 78 or POS 80 generate data 82 associated with and upon the occurrence of the financial transaction at step 302. Continuously, upon the occurrence of the financial transaction at step 300, or at any appropriate time, microphone 52 and cameras 56 generate audio/video information associated with financial transaction at step 304. Throughout this description, the term audio/video refers to video alone, audio alone, or both video and audio. If appropriate, converter 70 may generate video 72 and audio 74 for communication to controller 76.

If controller 76 determines that exception condition 84 stored in database 16 is not met at step 306, client 12 enters a first mode and stores digital file 14 including data 82 in database 16 at step 308. If exception condition 84 is met at step 306, client 12 enters a second mode and stores digital file 14 including data 82, video 72, and optionally audio 74 in database 16 at step 310.

5 If controller 76 detects alarm condition 85 from
alarm 83 at step 312, client 12 establishes
communication with server 20 or optionally alarm
monitoring station 28 at step 314. While client 12
maintains alarm condition 85, client 12 and server 20
or station 28 exchange data, video, and audio at step
316 to implement a one-way or two-way audio/video
conferencing link for remote surveillance, management,
or supervision. If alarm condition 85 persists at
10 step 318, client 12 and server 20 or station 28
continue to exchange data 82, video 72, and audio 74
at step 316. If alarm condition 85 is over at step
318 and the operation of client 12 is not done at step
320, the method returns to process the next financial
15 transaction at step 300.

If no alarm condition 85 exists at step 312,
client 12 determines whether to send digital files 14
to its associated server 20 at step 322. If digital
files 14 are to be sent to server 30, client 12
20 selects digital files 14 to send at step 324. This
may be done in response to a command received from
server 20 or by specifying locally by client 12 or
remotely by server 20 various parameters, such as
time, site identifier, register identifier, or other
25 appropriate parameter to select digital files 14.
Controller 76 passes selected digital files 14 to
codec 86 for formatting at step 326. Interface 88
using network 24 sends digital files 14 to server 20
at step 328. If appropriate, client 12 updates

database 16 at step 330, for example, by deleting digital files 14 transmitted to server 20. If the operation of client 12 is not done at 320 or client 12 determines not to send digital files 14 to server 20 at step 322, the method returns to process the next financial transaction at step 300.

FIGURE 7 illustrates a flow chart of a method of operation of server 20. The method begins at step 400 where server 20 receives digital files 14 from associated clients 12. Server 20 stores the received digital files 14 in database 18 at step 402. Dashed feedback arrow 404 indicates that steps 400 and 402 may execute in parallel, in series, in the background, or in any other appropriate manner to receive digital files 14 from clients 12.

A user of server 20 may input information into search/report window 132 of graphical interface 116 to select digital files 14 stored in database 18 to view at step 406. In response to the user's request, database management system 114 retrieves selected digital files 14 from database 18 and passes this information to controller 110 at step 408. Graphical interface 116 presents data 82 associated with retrieved digital files 14 as entries 122 and 124 in table 120 at step 410. Graphical interface 116 also highlights particular entries 124 with associated video 72 and optional audio 74 at step 412.

Upon selecting particular digital files 14 from database 18 and presenting entries 122 and 124 in

table 120, graphical interface 116 of server 20 supports several user functions as illustrated by branch 414. Graphical interface 116 may support these functions in parallel, in serial, or in any other fashion to allow interaction with the user of server 20. If the user selects highlighted entry 124 at step 416, graphical interface 116 presents associated video 72 and audio 74 in video window 126 at step 418. Graphical interface 116 then services functions of toolbox 128 and magnification box 130 at step 420 to allow further analysis of video 72 and audio 74 associated with the selected highlighted entry 124.

If the user selects an analysis or reporting function at step 422, graphical interface 116 services the analysis and reporting function at step 424. For example, the user of server 20 may request summary statistics, print information, run predefined reports, or perform any other function on data 82 displayed in table 120 or maintained as digital files 14 in database 18. Graphical interface 116 outputs the results of the analysis and reporting functions at step 426.

The user may also request a new table at step 428 by submitting another query in search/report window 132. In response, the method continues at step 406 where server 20 selects digital files 14 to view. If the operation of server 20 is not done at step 430, server 20 continues to receive digital files 14 at

step 400 and store digital files 14 in database 18 at step 402.

FIGURE 8 illustrates a video surveillance system 10 capable of real-time transmission of video 72 and data 82. Clients 12 are coupled to servers 20 via a communication network 24 as previously discussed in conjunction with FIGURE 1. Each server 20 includes display 112 which is capable of displaying real-time video 72 and data 82 received from client 12. In this embodiment, video 72 is sent by client 12 over connection 24 as soon as it is captured by camera 56. This is called real-time video, although it is understood that the limits of communication network 24 and other components of surveillance system 10 may introduce an appreciable delay between the capturing of video 72 and its display at server 20. Data 82 corresponding to video 72 is also sent along network 24. Additional data 82 from other sources at that location can be sent along network 24 as can additional video 72 from other cameras.

FIGURE 9 illustrates display 112 which includes video window 126 and one or more data windows 127. Data window 127 represents an overlay of data 82 from ATM 78 or POS 80 or any other device capable of producing data 82 generated by a financial transaction. For example, data window 127 may represent a live or scrolling version of a cash register receipt corresponding to the image of a customer purchasing goods in a store in video windows

126. Multiple data windows 127 can be overlaid on a video window 126, each corresponding to an ATM 78 or POS 80 at the same or different location. An operator at server 20 can switch video 72 corresponding to a particular data window 127 or the switching can be done periodically or automatically, based on a variety of criteria such as an alarm condition or preprogramed response. These include switching to video 72 based on data 82 (e.g., the presence of data 82, amount of money spent, key depressions at ATM 78 or POS 80, etc.), type of item purchased, movement in a video window, sound levels, or any other suitable criteria. Server 20 may switch, arrange, or display video window 126 and/or data window 127 in response to these criteria.

FIGURE 10 illustrates a display 112 divided into multiple video windows 126. These video windows 126 can be from cameras 56 at the same or different locations. Data windows 127 are overlaid in each video window 126. Multiple data windows 127 can be displayed in a given video window 126 as discussed in FIGURE 9. Multiple video windows 126 and data windows 127 may be repositioned, resized, or otherwise manipulated or arranged in response to criteria discussed above with reference to FIGURE 9.

FIGURE 11 is a flowchart of real-time video 72 and data 82 transmission. In step 500, client 12 generates data 82 from a financial transaction at ATM 78 or POS 80 or other device. Client 12 also

generates video 72 corresponding to data 82 at step 502. Client 12 transmits video 72 and data 82 over network 24 to server 20 in step 504. Video 72 and data 82 are communicated in real-time and can be from multiple sources. In step 506, data is overlaid as a data window 127 with video 72 on display 112. Server 20 overlays a single or multiple data windows 127 on a single or multiple video window 126 in any appropriate configuration as discussed above with reference to FIGURE 9 and 10. Step 508 determines if a change to a display needs to be made based on a variety of criteria. Manual changes, such as those initiated by an operator, are covered in step 510. These would include an operator switching to a window based on a transaction appearing in a data window or an operator switching camera views as part of a normal scan pattern. In step 512, server 20 automatically configures windows based on changes in video 72. For example, sudden movement may trigger a video window to appear. Also, if a camera becomes obstructed, a change in a video window might be triggered. In step 514, display 112 automatically changes due to the presence or content of data 82, such as the amount of purchase, the type of purchase, some alarm condition, keystrokes, or other criteria.

FIGURE 12 is a flowchart for a method of updating data 82 from client 12. In step 520, a link between client 12 and server 20 is established. This step can involve the actual establishment of a link over

network 24, the restoring of a paused link, initializing the update procedure over an already established link, or some other connection criteria or prearranged transfer time. In step 522, client 12 communicates data to server 20. This data 82 can contain register or item totals for a given time period, a running total since last connection, the total number of certain items sold, raw inventory or transaction data, or any other numeric or alphanumeric data that conveys information on the activity at client 12. Data 82 is typically stored in a file at client 12 and information gathered over a period of time is accumulated in that file. This file can be stored at server 20 upon receipt.

In step 524 server 20 displays data 82 in a format determined by data configuration file 15 stored in database 18. The display format may specify grand totals, sales on items of interest, inventory, cash on hand, or some other information. Configuration file 15 may be stored as an initialization file or some type of configuration file. Configuration file 15 is designed to be updated easily to allow displays to be designed efficiently. Server 20 maintains multiple configuration files 15 based on client 12, the identity of the operator at client 12, the identity of the operator at server 20, a time measure (e.g., time of day, day of the week, day of the month, quarter, etc.), a particular report format, or any other appropriate criteria.

In step 526, the process determines if additional queries need to be made. Additional queries can be made to extract data not transferred or to display data in an alternative format. If so, step 528
5 determines if the queries are to be made locally, that is, at the server. If so, server 20 performs queries on the server's database 18 in step 530. If not, server 20 performs queries on the client's database 16 in step 532.

10 Although the present invention has been described in several embodiments, a myriad of changes, variations, alterations, transformations, and modifications may be suggested to one skilled in the art, and it is intended that the present invention
15 encompass such changes, variations, alterations, transformations, and modifications as fall within the spirit and scope of the appended claims.

WHAT IS CLAIMED IS:

1. A video surveillance system, comprising:

5 a client operable to generate data associated with at least one financial transaction, the client having a camera operable to generate video associated with the financial transaction, the client operable to transmit the data and video using a communications network; and

10 a server coupled to the client using the communications network, the server operable to receive the data and video from the client and to display the video and data in real-time.

15 2. The system of Claim 1, wherein the client comprises a point-of-sale device and the financial transaction comprises the sale of an item.

20 3. The system of Claim 1, wherein the client comprises an automated teller machine and the financial transaction comprises a cash withdrawal.

25 4. The system of Claim 1, wherein the client comprises a microphone operable to generate audio associated with the financial transaction, the client operable to transmit data, video, and audio over the communications network.

5. The system of Claim 1, wherein the server forms a data window from the data and a video window from the video and overlays the data window on the video window.

5

6. The system of Claim 1, wherein the server presents data from a plurality of financial transactions as a plurality of data windows, presents video from a plurality of video sources as a plurality of video windows, and associates the data windows with the corresponding video windows.

10

7. The system of Claim 6, wherein the server receives user input to specify one of the data windows to display the video window associated with the specified data window.

15

8. The system of Claim 6, wherein the server associated with the financial transaction automatically switches the video window to the video associated with the data in response to the presence or content of data.

20

9. The system of Claim 6, wherein the server displays the appropriate video window and data window upon changes in one of the plurality of video windows.

25

10. The system of Claim 1, wherein the client stores accumulated data associated with the financial

transaction and transmits the data when the client communicates with the server.

11. A video surveillance method, comprising:
generating data associated with a financial
transaction;

5 generating video associated with the financial
transaction;

transmitting data and video in real-time from a
client;

receiving the data and video at a server using a
communications network; and

10 presenting data and video on a display at the
server.

12. The method of Claim 11, wherein the client
comprises a point-of-sale device and the financial
15 transaction comprises the sale of an item.

13. The method of Claim 11, wherein the client
comprises an automated teller machine and the
financial transaction comprises a cash withdrawal.

20

14. The method of Claim 11, further comprising
the steps of:

generating audio associated with the financial
transaction; and

25 transmitting the audio to the server.

15. The method of Claim 11, wherein the step of
presenting comprises:

presenting data in a data window as a representation of the financial transaction;
presenting video in a video window; and
overlaying the data window on the video window.

5

16. The method of Claim 11, wherein the step of presenting comprises:

presenting data as a plurality of data windows associated with a plurality of financial transactions;
10 presenting video as a plurality of video windows associated with a plurality of video sources; and
associating the data window with the corresponding video window.

15

17. The method of Claim 16, further comprising the step of updating the video window and the data window in response to the presence or content of the data in one of the plurality of data windows.

20

18. The method of Claim 16, further comprising the step of updating the video window and the data window in response to a change in one of the plurality of video windows.

25

19. The method of Claim 16, further comprising the steps of:

receiving a user selection; and

updating the video window and the data window in response to the selection.

20. The method of Claim 11, further comprising the steps of:

storing accumulated financial data in a file at the client;

```

5      transmitting the file from the client to the
      server upon connection of the client to the server.

```

21. The method of Claim 20, wherein the digital
file contains financial records accumulated since last
connection.

10

22. A video surveillance system, comprising:

5 a client operable to generate data associated with a financial transaction and to accumulate and store the data as a digital file, the client having a camera operable to generate video associated with the financial transaction, the client operable to transmit the data and video across a communications network; and

10 a server coupled to the client using the communications network, the server operable to receive the digital file upon connection with the client, to receive the data and video from the client and to display the video and data in real-time.

15 23. The system of Claim 22, wherein the client comprises a point-of-sale device and the financial transaction comprises the sale of an item.

20 24. The system of Claim 22, wherein the client comprises an automated teller machine and the financial transaction comprises a cash withdrawal.

25 25. The system of Claim 22, wherein the client comprises a microphone operable to generate audio associated with the financial transaction, the client operable to transmit data, video, and audio over the communications network.

26. The system of Claim 22, wherein the server forms a data window from the data and a video window from the video and overlays the data window on the video window.

5

27. The system of Claim 22, wherein the server presents data from a plurality of financial transactions as a plurality of data windows, presents video from a plurality of video sources as a plurality of video windows, and associates the data windows with the corresponding video windows.

10

28. The system of Claim 27, wherein the server receives user input to specify one of the data windows to display the video window associated with the specified data window.

15

29. The system of Claim 27, wherein the server associated with the financial transaction automatically switches the video window to the video associated with the data in response to the presence or content of data.

20

30. The system of Claim 27, wherein the server displays the appropriate video window and data window upon changes in one of the plurality of video windows.

25

31. The system of Claim 22, wherein the client stores accumulated data associated with the financial

transaction and transmits the data when the client communicates with the server.

5 32. The system of claim 22, wherein the server displays the digital file based on a configuration file.

063074.0104

33. A video surveillance method, comprising:
generating data associated with a financial
transaction;

5 generating video associated with the financial
transaction;

 storing accumulated data as a digital file;
 transmitting the digital file upon connection of
the client and the server;

10 transmitting data and video in real-time from a
client;

 receiving the data and video at a server using a
communications network; and

15 presenting data and video on a display at the
server.

34. The method of Claim 33, wherein the client
comprises a point-of-sale device and the financial
transaction comprises the sale of an item.

20

35. The method of Claim 33, wherein the client
comprises an automated teller machine and the
financial transaction comprises a cash withdrawal.

25 36. The method of Claim 33, further comprising
the steps of:

 generating audio associated with the financial
transaction; and

 transmitting the audio to the server.

37. The method of Claim 33, wherein the step of presenting comprises:

presenting data in a data window as a representation of the financial transaction;

5 presenting video in a video window; and
 overlaying the data window on the video window.

38. The method of Claim 33, wherein the step of presenting comprises:

10 presenting data as a plurality of data windows
associated with a plurality of financial transactions
on a display at the server;

 presenting video as a plurality of video windows
associated with a plurality of video sources on a
15 display at the server; and

 associating the data window with the
corresponding video window.

39. The method of claim 38, further comprising
20 the step of updating the video window and the data
window in response to the presence or content of the
data in one of the plurality of data windows.

40. The method of Claim 38, further comprising
25 the step of updating the video window and the data
window in response to a change in one of the plurality
of video windows.

41. The method of Claim 38, further comprising the steps of:

receiving a user selection; and

updating the video window and the data window in response to the selection.

42. The method of Claim 33, wherein the digital file contains financial records accumulated since last connection.

5

VIDEO SURVEILLANCE SYSTEM AND METHOD

ABSTRACT OF THE INVENTION

10 A video surveillance system includes clients
coupled to servers using a network. The clients
generate data, video, and optionally audio. Using the
network, clients communicate in real-time the data,
video, and optionally audio to the servers for
monitoring, analyzing, and reporting on the financial
transactions occurring at the clients. The video
15 surveillance system also supports receiving stored
data upon connection between the clients and the
servers.

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention or design entitled VIDEO SURVEILLANCE SYSTEM AND METHOD the specification of which is attached hereto; that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and that I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Number</u>	<u>Country</u>	<u>Date Filed</u>	<u>Priority Claimed</u> <u>(Yes) (No)</u>
-----NONE-----			

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT

international filing date of this application:

<u>Application</u> <u>Serial Number</u>	<u>Date Filed</u>	<u>Status</u>
08/677,513	July 10, 1996	Pending

I hereby appoint the following:

Jerry W. Mills	Reg. No. 23,005
Robert M. Chiaviello, Jr.	Reg. No. 32,461
Ann C. Livingston	Reg. No. 32,479
William N. Hulsey III	Reg. No. 33,402
Thomas R. Felger	Reg. No. 28,842
Charles S. Fish	Reg. No. 35,870
Robert H. Johnston III	Reg. No. 37,364
Wei Wei Jeang	Reg. No. 33,305
Kevin J. Meek	Reg. No. 33,738
Anthony E. Peterman	Reg. No. 38,270
Barton E. Showalter	Reg. No. 38,302
David G. Wille	Reg. No. 38,363
Robert J. Ward	Reg. No. 38,652
Christopher J. Rourke	Reg. No. 39,348
Philip W. Woo	Reg. No. 39,880
Bradley P. Williams	Reg. No. 40,227
Terry J. Stalford	Reg. No. 39,522
Christopher W. Kennerly	Reg. No. P40,675
Daniel P. Stewart	Reg. No. P41,332
Roger J. Fulghum	Reg. No. 39,678
Rodger L. Tate	Reg. No. 27,399
Scott F. Partridge	Reg. No. 28,142
James B. Arpin	Reg. No. 33,470
James Remenick	Reg. No. 36,902
Jay B. Johnson	Reg. No. 38,193
Christopher C. Campbell	Reg. No. 37,291
Stacy B. Margolies	Reg. No. 39,760
Robert W. Holland	Reg. No. 40,020
Steven R. Sprinkle	Reg. No. P40,825

all of the firm of Baker & Botts, L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities.

Send Correspondence To:

Barton E. Showalter, Esq.
Baker & Botts, L.L.P.
2001 Ross Avenue
Dallas, Texas 75201-2980

Direct Telephone Calls To:

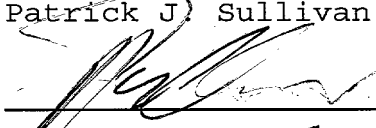
Barton E. Showalter
at (214) 953-6509
Attorney Docket No. 063074.0104

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of the first inventor

Patrick J. Sullivan

Inventor's signature



7/8/97

Date

Residence (City, County, State)

Plano, Collin County, Texas

Citizenship

Citizen of New Zealand

Post Office Address

3500 Mount Vernon Way
Plano, Texas 75025

063074.0104

Full name of the second inventor

Ziad (nmi) Sawaf

Inventor's signature

Z - Sawaf

Date

7/8/97

Residence (City, County, State)

Dallas, Dallas County, Texas

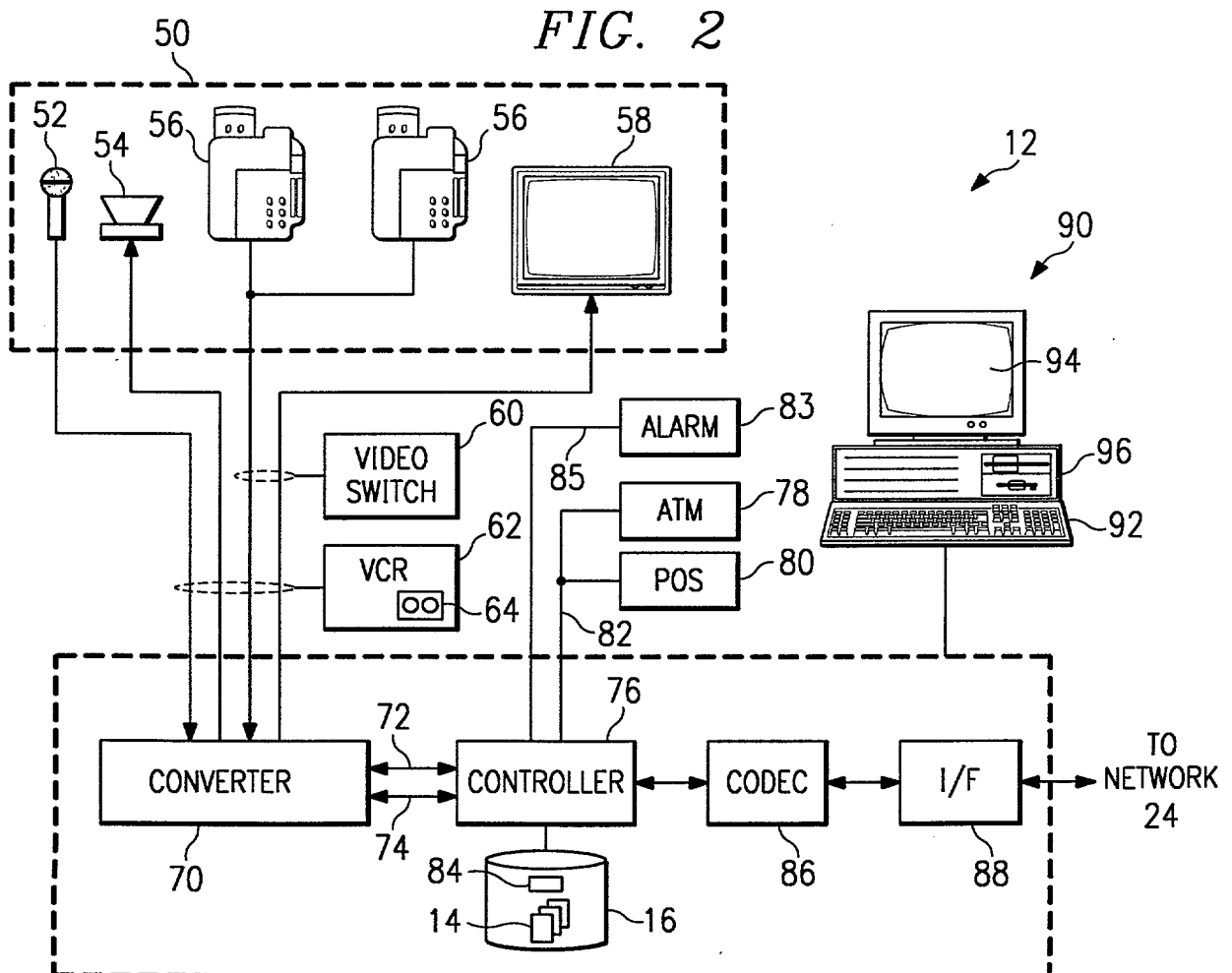
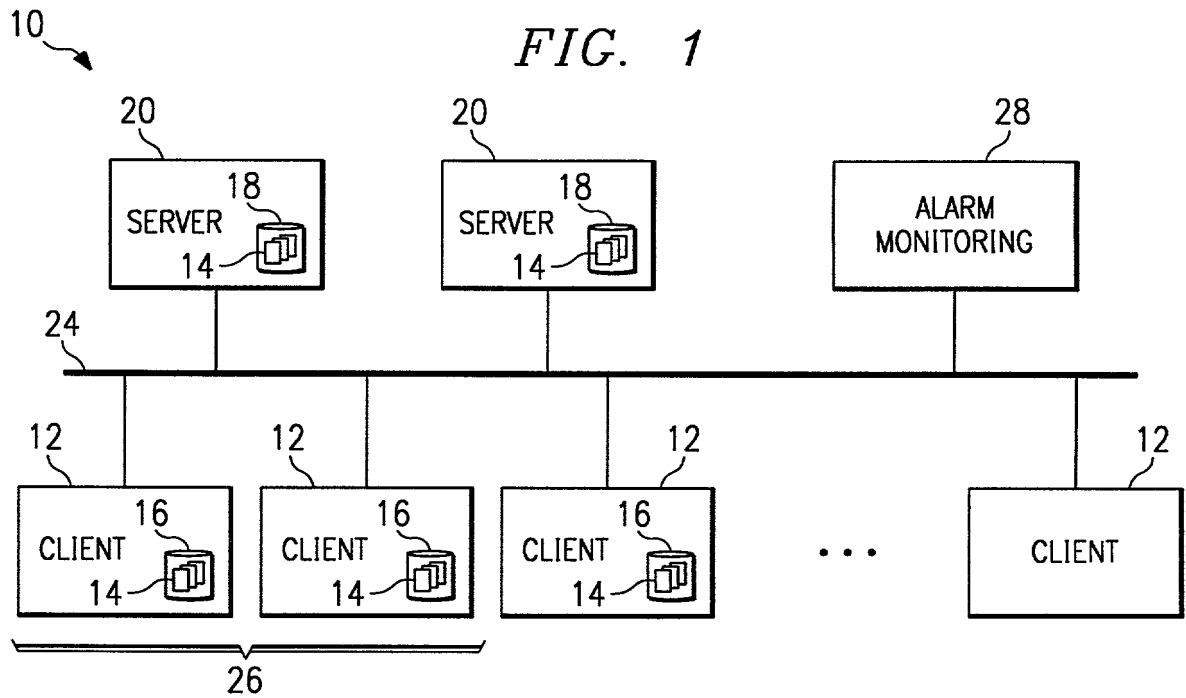
Citizenship

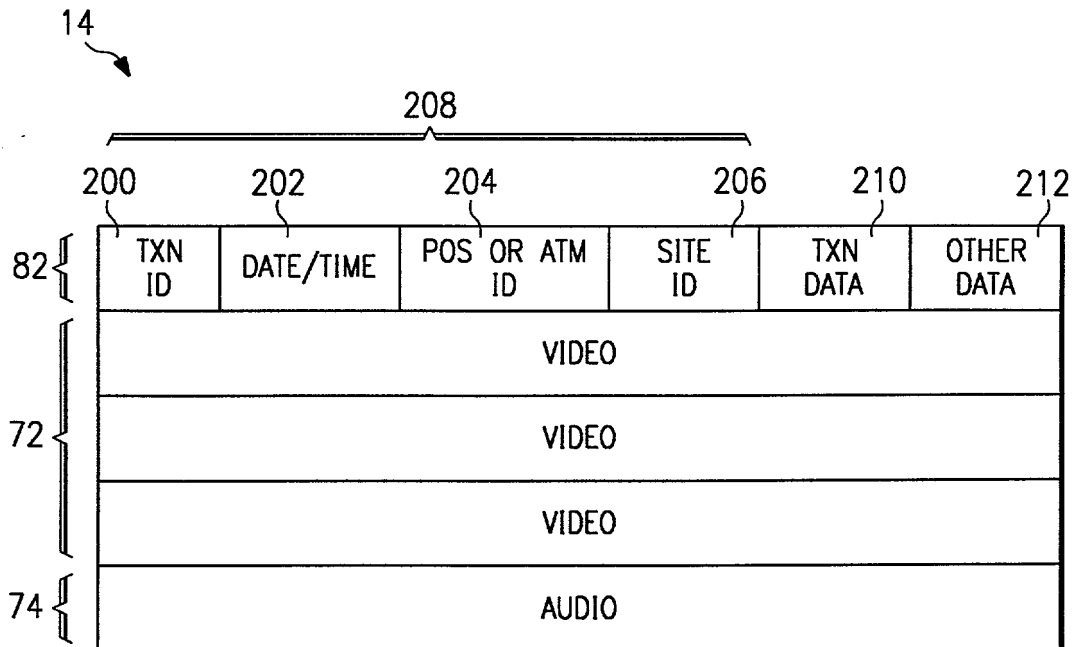
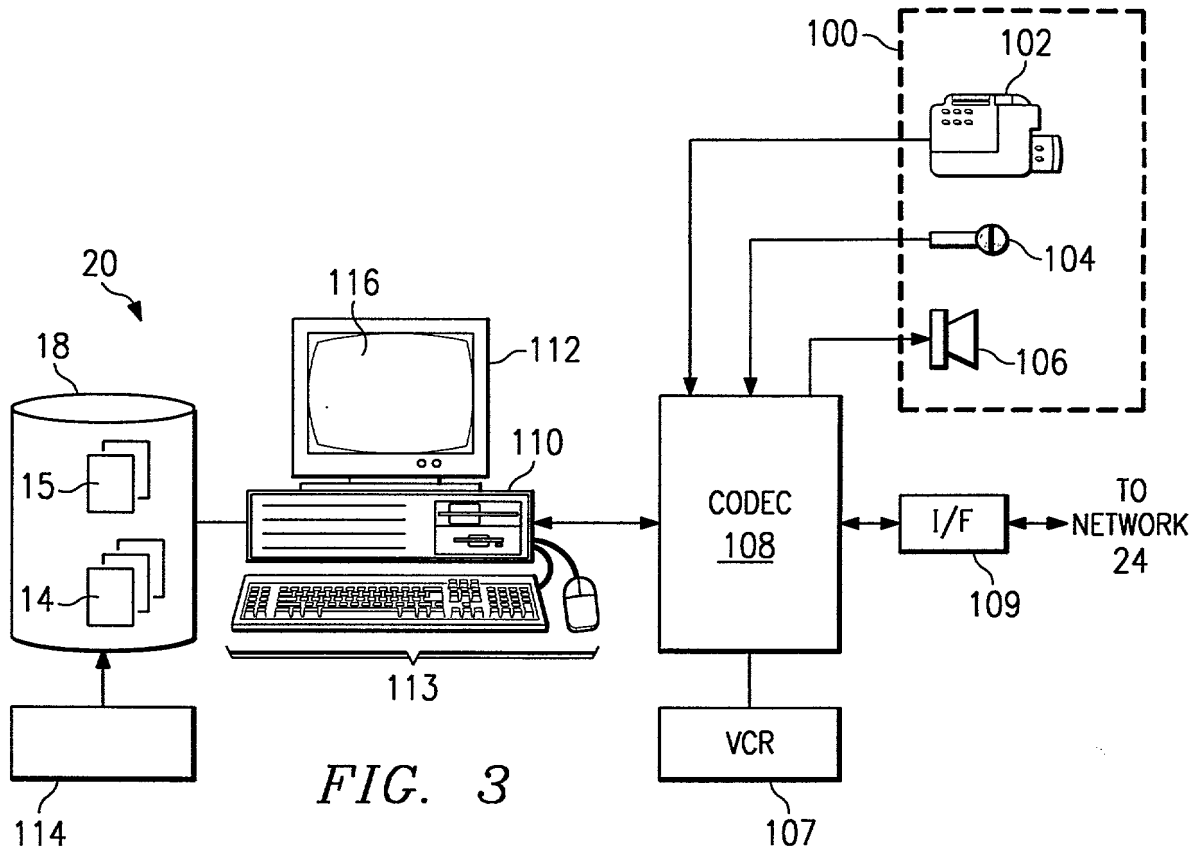
Citizen of Canada

Post Office Address

17827 Windflower Way, #109
Dallas, Texas 75252

063074.0104





2006020" 68868880

116

FIG. 4

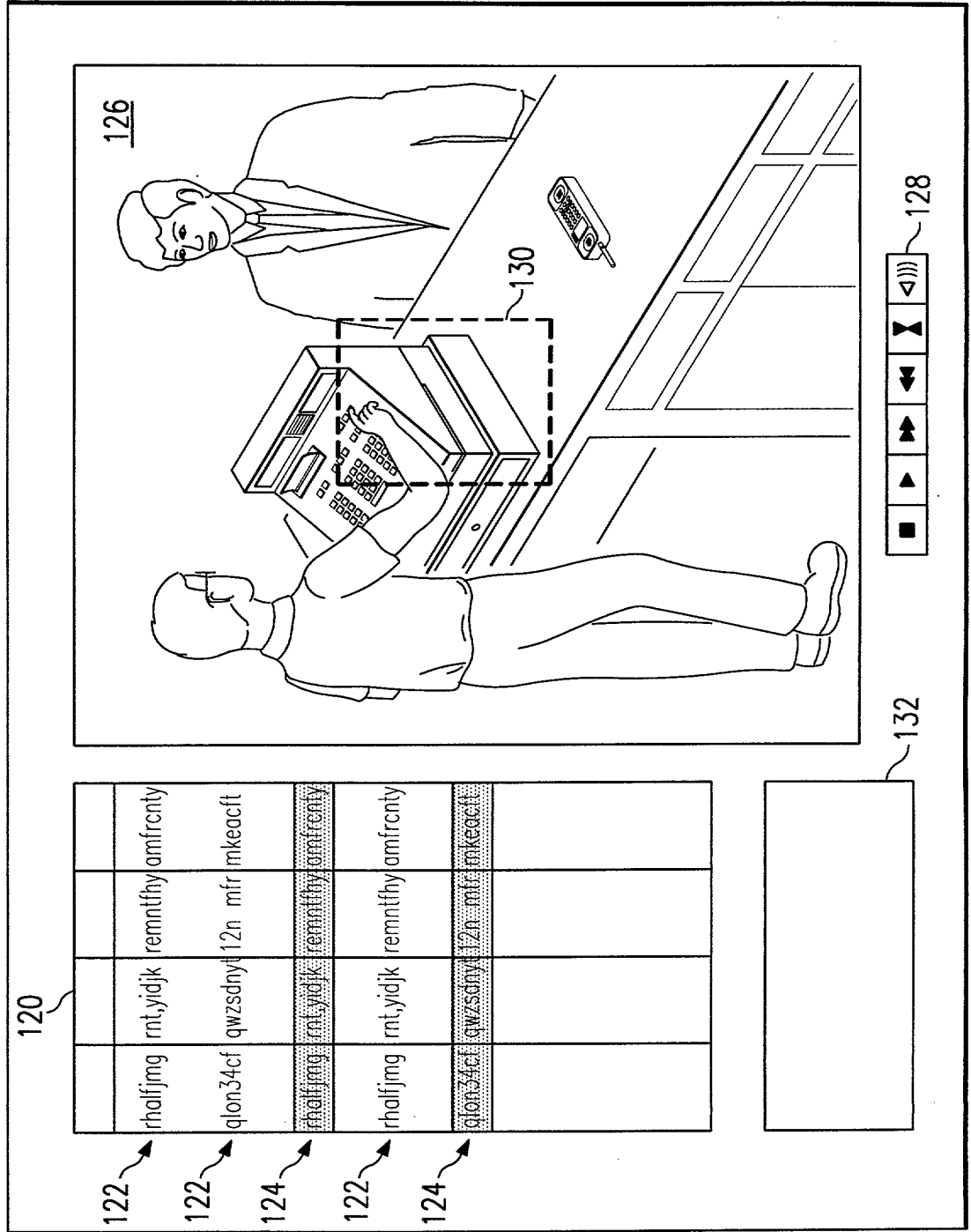
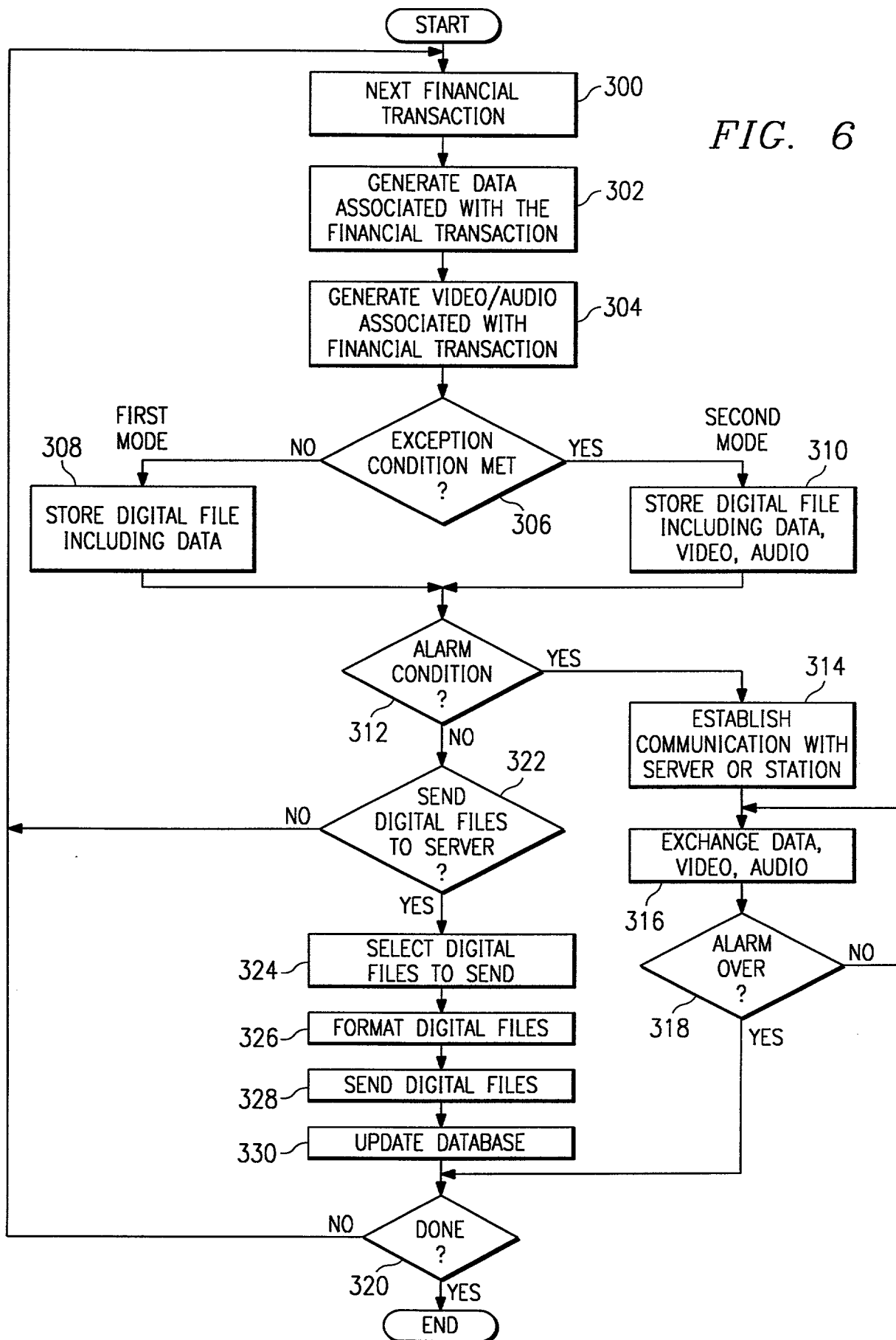


FIG. 6



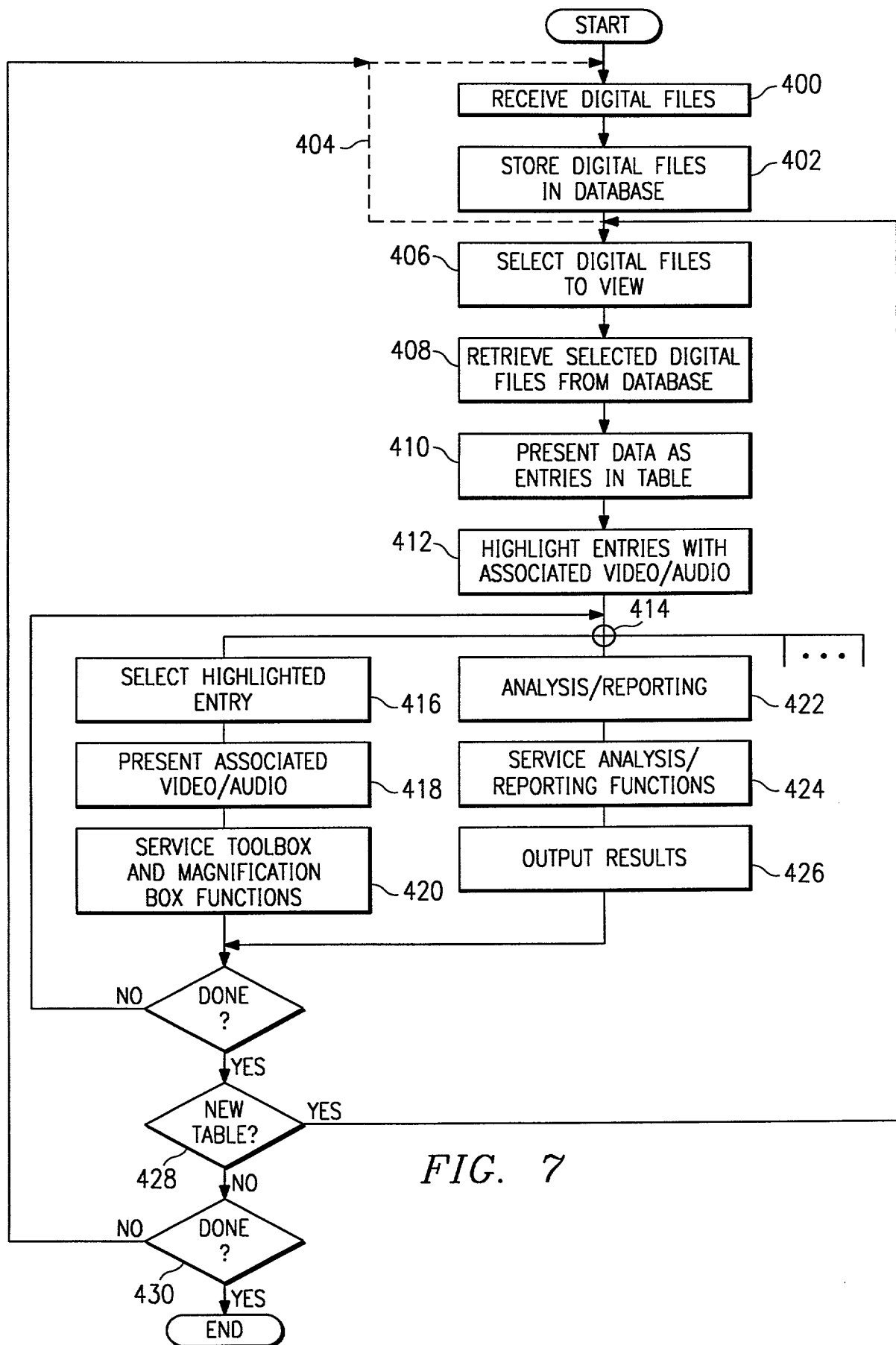
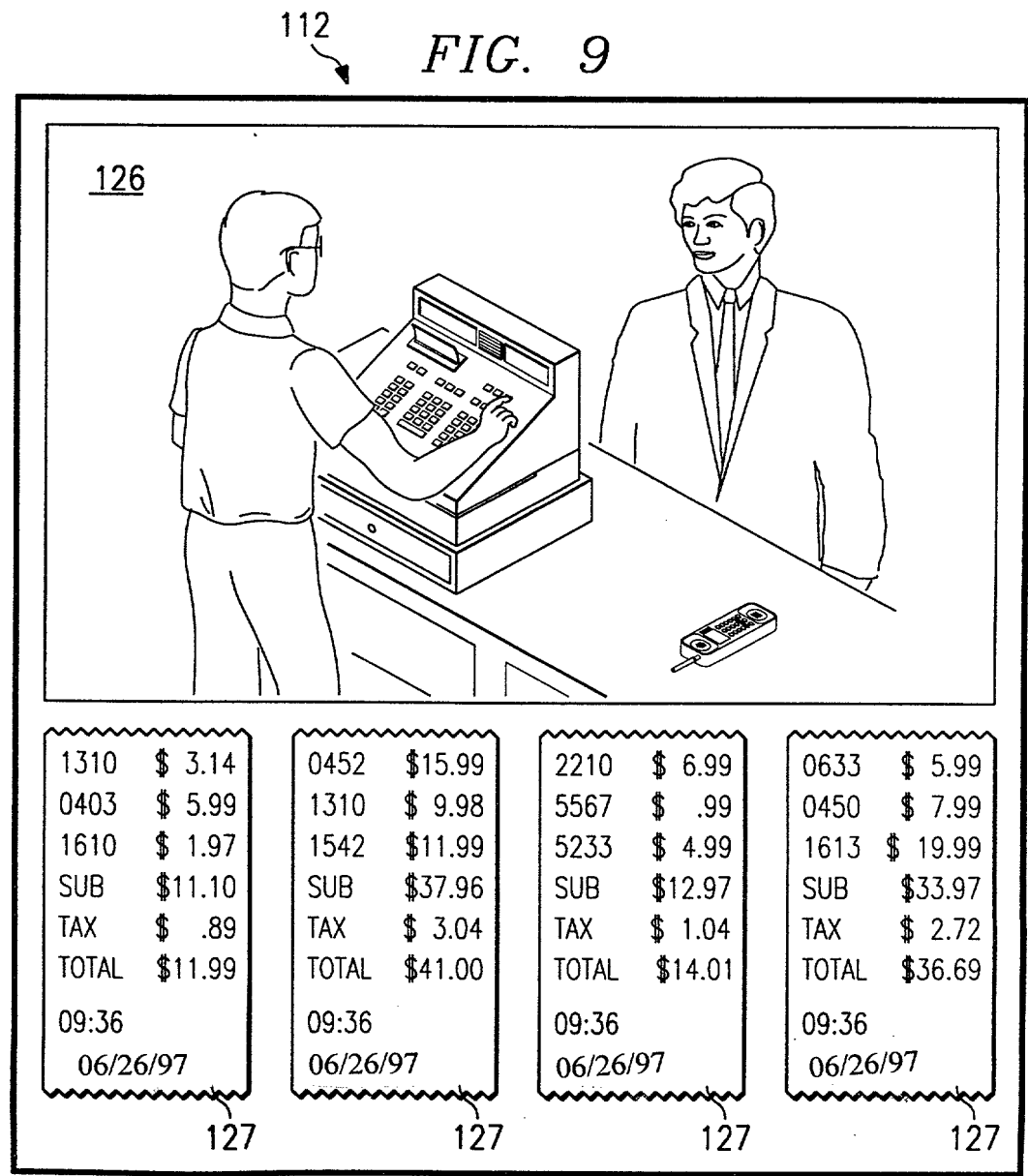
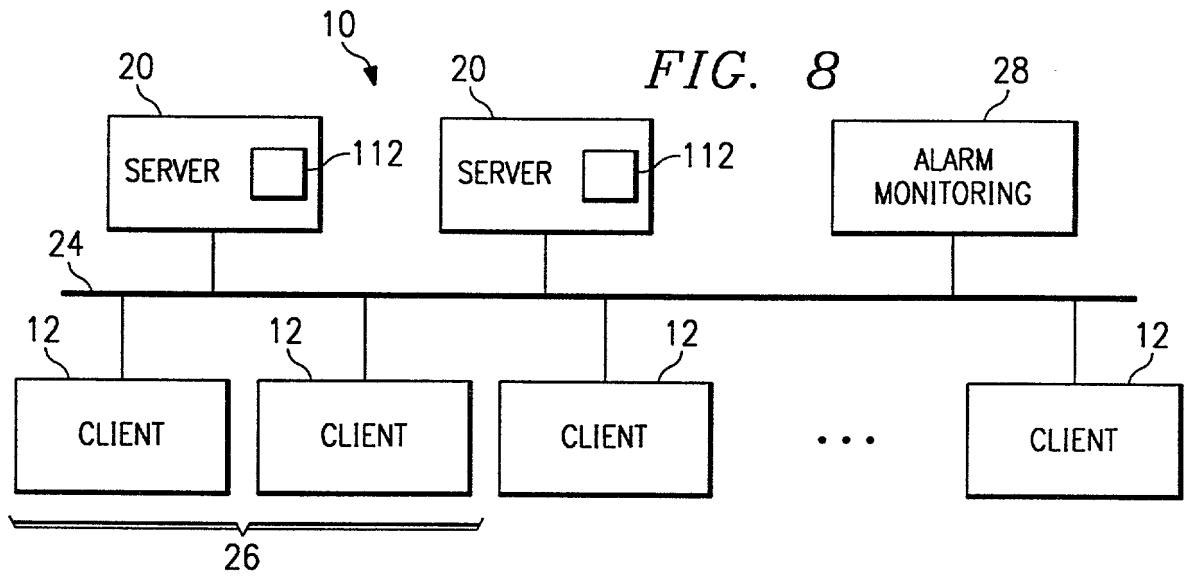


FIG. 7



112

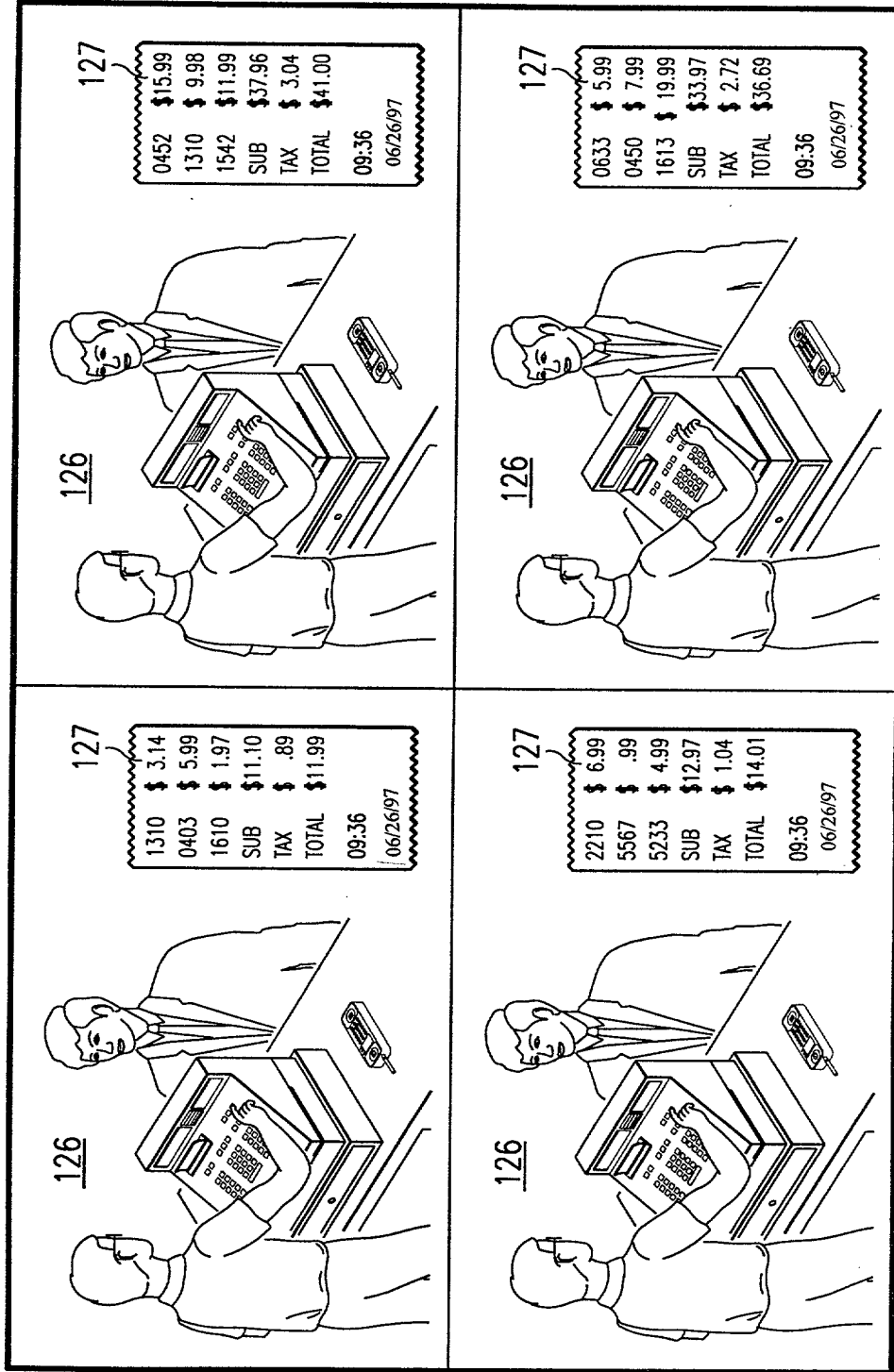


FIG. 10

FIG. 11

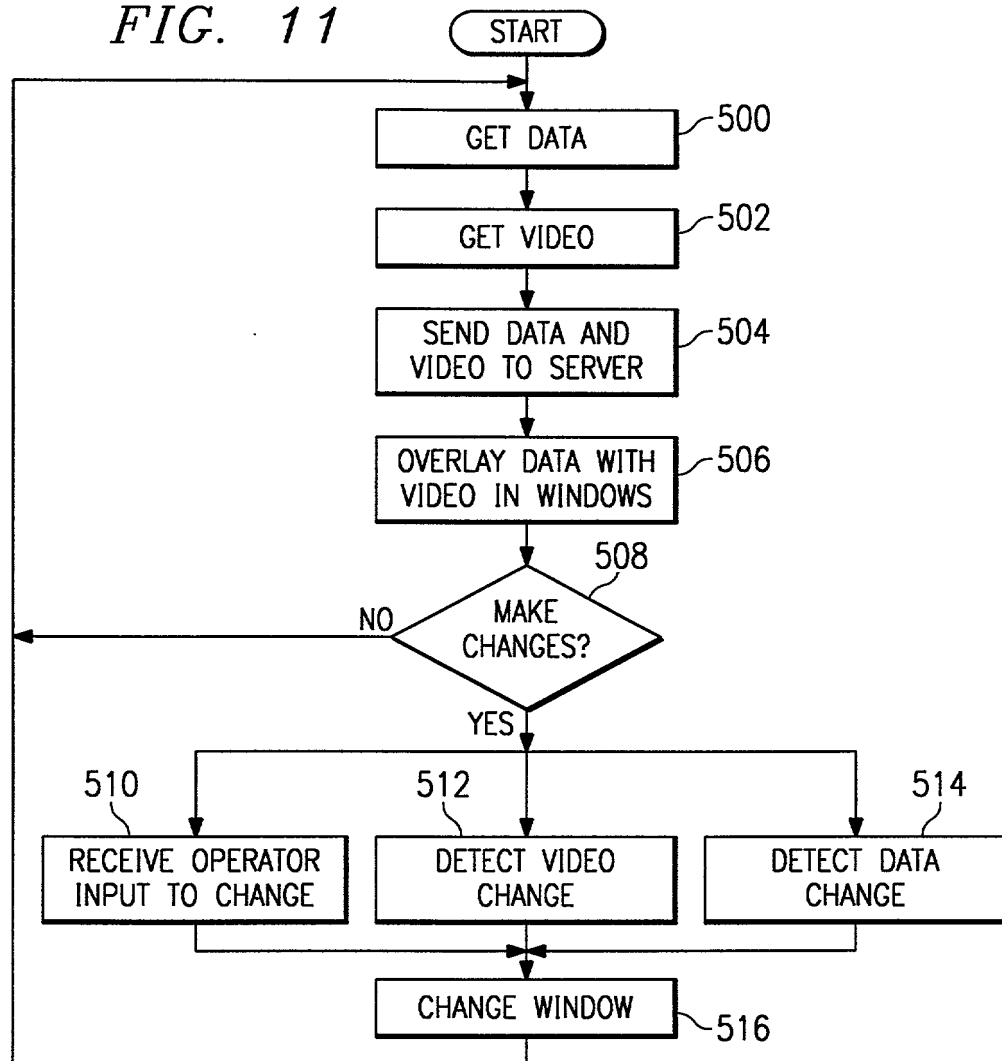
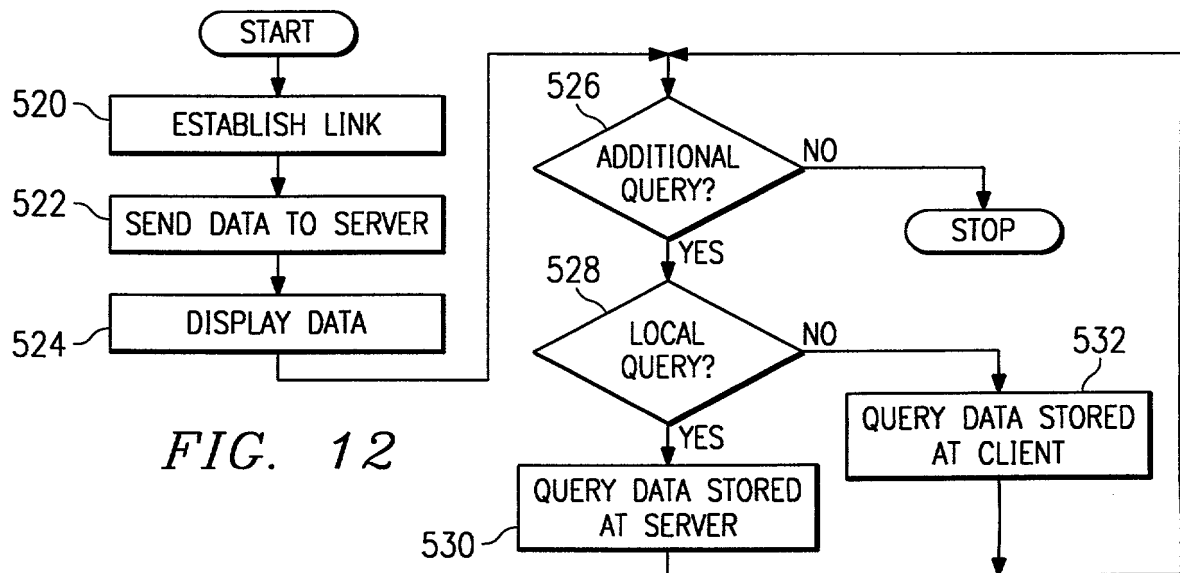


FIG. 12



Applicant or Patentee: Patrick J. Sullivan, et al.
Serial or Patent No:
Filed or Issued: July 8, 1997
Title: VIDEO SURVEILLANCE SYSTEM AND METHOD

Attorney's
Docket No: 063074.0104

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b)) -- INDEPENDENT INVENTOR

As below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office regarding the invention entitled VIDEO SURVEILLANCE SYSTEM AND METHOD.

☒ the specification filed herewith.
____ application serial number _____, filed _____.
____ patent number _____, issued _____.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.*

☒ No such person, concern, or organization
____ Persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: Patrick J. Sullivan
ADDRESS: 3500 Mount Vernon Way, Plano, Collin County, Texas 75025

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: Ziad (nmi) Sawaf
ADDRESS: 17827 Windflower Way, #109, Dallas, Dallas County, Texas 75248


☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

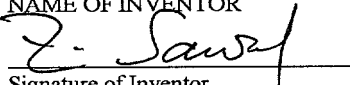
NAME:
ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Patrick J. Sullivan
NAME OF INVENTOR

Signature of Inventor
7/8/97
Date

Ziad (nmi) Sawaf
NAME OF INVENTOR

Signature of Inventor
7/8/97
Date

NAME OF INVENTOR

Signature of Inventor

Date